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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **RCA 90,262**

First Inventor or Application Identifier **Mahr**

Title **Disc Speed Control Device**

Express Mail Label No. **EL479513005US**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages **10**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets **1**]
4. Oath or Declaration [Total Pages **1**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**\*NOTE: ITEM 14 IS IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. 1.12).**

Assistant Commissioner for Patents  
**ADDRESS TO:** Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement [X] Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - i.  Small Entity Statement(s)  Statement filed in prior application  
(PTO/SB/09-12)  Status still proper and desired
  - ii.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
  - iii.  Other: **Claim of Priority**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or  Correspondence address below

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Signature	<i>Paul P. Kiel</i>		Date 7-11-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5      Applicants:                    Peter Mahr  
            Filed:                      Herewith  
            For:                        DISC SPEED CONTROL DEVICE

PRELIMINARY AMENDMENT

Hon. Assistant Commissioner for Patents

10     Washington, D.C. 20231

Sir:

Prior to examination and calculation of fees, please enter the following  
Preliminary Amendment and the accompanying remarks.

15

IN THE CLAIMS

Please amend the claims as follows:

20     1. [.] (Amended)      Disc speed control device for use in a player or recorder of a  
            disc shaped information carrier to be recorded or recorded with data along data  
            tracks, the data being read or recorded using a pick-up [(9)], the device  
            comprising:  
            [-] frequency generating means [(2)] for generating a frequency signal [having a  
25           frequency] representative of a rotation speed of the disc,  
            [-] disc actuating means [(1)] for rotating the disc,  
            [-] leading value output means [(4)] for generating a determined rotation speed  
            value,  
            [-] speed servo means [(6)] which receives the frequency signal and the  
30           determined rotation speed value and which regulates the disc actuating means  
            in response to the determined rotation speed value,  
            **[characterized in that it further comprises :]**  
            [-] signal processing means [(10)] which process an output of the pick-up when  
            the data is being read and deliver a data frequency signal, and

[-] speed processing means [(8)] which receives and uses the data frequency signal to compute the determined rotation speed value.

2.1] (Amended) Disc speed control device according to claim 1, wherein the  
5 signal processing means comprises a data phase locked loop means [(11)] which outputs a voltage [(U-, U0, U+)] corresponding to a phase locked loop frequency [(f-, f0, f+)] of the rate at which data is read by the pick-up, and [comprising] a reference voltage source which delivers a reference voltage [(Uv)] at an input of the speed processing means.

10

3.1] (Amended) Optical disc player or recorder for [paying] playing back from or recording to a disc shaped information carrier having recorded data or to be recorded with data, along data tracks, the data being read or recorded using a pick-up [(9)], **[characterized** in that it comprises a disc speed control device the  
15 device] comprising:

20

[-] frequency generating means [(2)] for generating a frequency signal [having a frequency] representative of a rotation speed of the disc,

[-] disc actuating means [(1)] for rotating the disc,

[-] leading value output means [(4)] for generating a determined rotation speed value,

[-] speed servo means [(6)] which receives the frequency signal and the determined rotation speed value and which regulates the disc actuating means in response to the determined rotation speed value,

25

[-] signal processing means [(10)] which process an output of the pick-up when the data is being read and deliver a data frequency signal, and

[-] speed processing means (8) which receives and uses the data frequency signal to compute the determined rotation speed value.

30

4.1] (Amended) Optical disc player or recorder according to claim 3, wherein the signal processing means comprises a data phase locked loop means [(11)] which outputs a voltage [(U-, U0, U+)] corresponding to a phase locked loop frequency [(f-, f0, f+)] of the rate at which data is read by the pick-up, and [comprising] a reference voltage source which delivers a reference voltage [(Uv)] at an input of the speed processing means.

IN THE ABSTRACT

Please replace the abstract with the following new abstract:

5

--Abstract of the Disclosure

A disc speed control device for use in a disc player and/or recorder having a pick-up for reading / recording data. The device comprises an inner loop which regulates a determined disc rotation speed value received at its input and an outer loop which delivers this speed value depending on a frequency at which data is read by the 10 pick-up. The present invention is particularly useful in multistandard disc drives which need to adjust to different disc speed modes, for example, constant angular velocity, or constant linear velocity.--

REMARKS

15

Claims 1-4 are pending. The claims and the abstract have been amended to correct informalities and to conform to U.S. practice. No new matter has been added.

No fee is believed due in regard to the present amendment. However, if a fee is due, please charge the fee to Deposit Account 07-0832.

20

Respectfully submitted,  
Peter Mahr



25

By: Paul P. Kiel,  
Attorney for Applicant  
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609-734-9650

30 Date: 7-11-00, 2000

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## DISC SPEED CONTROL DEVICE

### FIELD OF THE INVENTION

5 The invention relates to a playing and/or recording device for a disc shaped information carrier, and more precisely to a disc speed control device.

### BACKGROUND OF THE INVENTION

10 A playing and/or recording device for a disc shaped carrier is known to adjust the disc rotation speed depending on the nature of the disc. Typically two modes are used, namely Constant Angular Velocity and Constant Linear Velocity mode.

15 In CAV mode the disc is rotated at a constant rotation speed. This is for example the case for Compact Disc-ROM devices which are of widespread use as computer peripherals. In a start phase after the disc has been inserted in the CD-ROM player and is ready to be read, a leading value output means generates a determined start rotation speed value. This value is output to speed servo means which regulate disc actuating means such to rotate the disc at the start rotation speed. An instantaneous disc rotation speed may be

20 obtained from a frequency signal which is generated by frequency generating means. The frequency generating means may for example be realized by a device which is directly measuring the rotations of a motor shaft in the disc actuating means. The speed servo means receive the frequency signal, compare the determined start rotation speed with the instantaneous disc rotation speed calculated from the frequency signal, and consequently regulate the disc actuating means such that the disc keeps rotating at the determined start rotation speed. Any other speed may now be

25 adjusted by using the leading value output means.

Disc players and/or recording devices typically comprise a pick up which may be moved relative to the rotating disc in order to be positioned for reading and/or recording data at

a determined location of the disc. In optical disc player for example the pick up comprises optical means which receive light reflected by the disc and project it on light detecting means. This way an output of the light detecting means is indicative of data scanned by the reflected light.

5 means is indicative of data scanned by the reflected light. The data may for example be recorded along tracks. The tracks form circles or a spiral having a center substantially located at a center of the disc rotation.

10 In CLV mode the disc is rotated such that data being read and/or recorded using the pick-up appears to be passing by the pick-up at a constant speed. This means that the disc rotation speed is actually higher when the pick-up accesses data near the center of the disc than when it accessed data

15 near a periphery of the disc. This is for example the case in audio Compact Disc players. The disc rotation speed must be adjusted depending on where on the disc the pick-up is to access data. Typically an output of the pick-up, i.e., an output of the light detecting means is processed using

20 signal processing means and a data frequency signal showing at which frequency data is read by the pick-up is obtained. The data frequency signal is compared to a desired frequency corresponding to a determined linear velocity and a speed servo circuit regulates the disc actuating means in a known

25 manner such that the disk rotations speed remains adapted to have a data frequency signal substantially equal to the desired frequency.

30 Many players and/or recording devices for disc shaped carriers have the possibility to function in either the CAV or CLV mode. In order to realize this compatibility to CAV and CLV it is known to either have two distinct circuits for CAV and CLV or to modify the speed servo circuit of either one such that it may perform in both modes. The latter

35 solution requires a relative high degree of complexity in the modified speed circuit. The former solution requires that in addition to the two distinct circuits, a possibility to switch between both circuits when appropriate be included.

## SUMMARY OF THE INVENTION

5 It is an object of the present invention to eliminate the need for two distinct circuits which perform CAV or CLV.

10 It is another object of the present invention to lower the complexity of a speed servo circuit in a device performing CAV and CLV.

15 A disc speed control device according to the invention is for use in a player and/or recorder of a disc shaped information carrier to be recorded or recorded with data along data tracks, the data being read and/or recorded using a pick-up, and comprises frequency generating means for generating a frequency signal having a frequency representative of a rotation speed of the disc, disc actuating means for rotating the disc, leading value output means for generating a determined rotation speed value, speed servo means which receive the frequency signal and the determined rotation speed value, and which regulate the disc actuating means to the determined rotation speed value, signal processing means which process an output of the pick-up when the data is being read and deliver a data frequency signal, and speed processing means which receive and use the data frequency signal to compute the determined rotation speed value.

20 30 The invention will in the following be explained using examples and Figures.

## BRIEF DESCRIPTION OF THE DRAWINGS

35 Fig. 1 contains a schematic speed regulating circuit according to the prior art,  
Fig. 2 contains a schematic speed regulating circuit according to the invention,  
Fig. 3 illustrates a preferred embodiment of the invention.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Fig.1 shows a schematic representation of known disc actuating means 1 which are used to rotate a disc shaped data carrier (not shown). A frequency generating means 2 measures for example the rotations of a motor shaft (not shown) which rotates in the disc actuating means 1. The frequency generating means 2 thereby generate a frequency signal which has a frequency representative of a rotation speed of the disc, and transmit this to speed servo means 3. A leading value output means 4 generates a determined rotation speed value which is transmitted to the speed servo means 3. The determined rotation speed value corresponds to a desired rotation speed in CAV mode.

The speed servo means 3 comprises a comparator means 5 which receives both the frequency signal and the determined rotation speed value, compares both inputs and delivers the result of the comparison to a regulating means 6. The regulating means 6 outputs a regulating signal to the disc actuating means 1 through an amplifier 7 such that a rotation of the disc shaped data carrier at the determined rotation speed value is obtained. This means that the disc actuating means will accelerate or decelerate the disc rotation depending on respectively if the frequency signal indicates an instantaneous speed smaller or greater than the determined rotation speed value. Hence a CAV mode operation is achieved because the disc rotating is regulated at a constant determined rotation speed.

In Fig. 2, the determined rotation speed value is provided to the speed servo means 3 by speed processing means 8. A pick-up 9 is used to read data from the rotating disk shaped carrier (not shown) and delivers to a signal processing means 10 a signal representative of the data scanned from the disc. The signal processing means 10 allows to generate a data frequency signal which depends on the frequency at which data is scanned by the pick-up, i.e., on the linear velocity at which the disc passes by the pick-up 9. The

speed processing means 8 receives the data frequency signal and computes the determined rotation speed value. In case a CLV mode is to be achieved, the determined rotation speed value will depend on the location of the disc at which the 5 pick-up 9 reads the data. The speed servo means 3 then regulates the disc actuating means 1 to rotate the disc at the determined rotation speed value.

To read includes reading data stored in the form of pits in 10 the form of depressions or elevations, dark or bright areas, areas differing in other physical properties as for example magnetic properties, optical properties, electrical properties or geometric properties, like a differently wobbled track. In case of a recording device, data is also 15 read during a recording process. For example during following the track prerecorded areas in the form of pits or wobble information are read and evaluated, even when recording is performed.

20 The described example in fact acts as a system of two loops: an inner loop comprising the speed servo means 3, the disc actuating means 1 and the frequency generating means 2, and receiving a determined rotation speed value at its input. The second loop may be called outer loop or control loop and 25 provides the determined rotation speed value to the input of the inner loop.

The outer loop and more precisely the speed processing means 8 which is part of it, may typically provide processing of 30 the data frequency signal for achieving CLV mode.

In a preferred embodiment, the outer loop may also provide processing for one or many of the following situations:

35 a) Constant speed value. In this situation, the speed processing means output or constant value for the determined disc rotation speed. Such a situation occurs for example in a start phase when the disc is inserted in the player and/or

recorder, or in CAV mode when the player is used as a CD-ROM drive;

b) Freeze the instantaneous speed in case of error. This situations occurs, for example, when the signal processing means 10 are not in a state to deliver a data frequency signal because data on the disc is unreadable. The speed processing means registers the absence of the data frequency signal and outputs a speed value which was stored previous to the occurrence of an error. This way, the rotation speed may be controlled until the pick-up 9 scans readable data and a data frequency signal is generated again.

c) Generate a speed profile in case of jumps or smooth acceleration. This situation may, for example, occur when data is read in CLV mode and the pick-up is moved towards the periphery of the disc in a so-called jump, i.e., when many tracks are crossed to access data. The speed processing means anticipates the final rotation velocity required to read data on the accessed track and outputs the final rotation velocity as determined disc rotation speed to the inner loop during the jump while no data is read. This allows to save time. In another example, the speed servo means 8 simply generates a smooth acceleration of the disc rotation speed by successive outputting increasing speed values to the inner loop, the result being that when the disc rotates at higher speeds, data may be read at a higher rate.

Fig. 3 shows a preferred embodiment of an outer loop. A data Phase Locked Loop 11 receives an output from the pick-up 9. The data PLL 11 comprises means for generating a voltage  $U$  depending on a frequency of the read data rate which is defined as a PLL frequency  $f$ . The data PLL 11 outputs the voltage  $U$  according to a voltage curve 12. The voltage curve 12 shows that PLL frequencies  $f_-$ ,  $f_0$  and  $f_+$  correspond to voltage  $U_-$ ,  $U_0$  and  $U_+$ . The speed processing means 8 receives at its input the voltage output by the data PLL 11 and a reference voltage  $U_{rV}$ ; the input voltages are compared and

depending on the result the speed processing means 8 output a higher or smaller determined disc rotation value, such that the PLL frequency remains substantially at the frequency  $f_0$ . Hence a CLV mode may be achieved.

5

The disc speed control devices described here are given as examples only and a person skilled in the art may realize other embodiments of the invention while remaining in the scope of the invention.

10

The disc speed control device according to the invention is particularly advantageous in that it may easily be used for various kinds of recording and/or playing modes. This is especially useful in multi-standard disc drives which need 15 to adjust many different disc speeds to read or record data.

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## WHAT IS CLAIMED, IS

- 1) Disc speed control device for use in a player or recorder of a disc shaped information carrier to be recorded or recorded with data along data tracks, the data being read or recorded using a pick-up (9), the device comprising:
  - frequency generating means (2) for generating a frequency signal having a frequency representative of a rotation speed of the disc,
  - disc actuating means (1) for rotating the disc,
  - leading value output means (4) for generating a determined rotation speed value,
  - speed servo means (6) which receives the frequency signal and the determined rotation speed value and which regulates the disc actuating means to the determined rotation speed value,  
**characterized** in that it further comprises :
    - signal processing means (10) which process an output of the pick-up when the data is being read and deliver a data frequency signal,
    - speed processing means (8) which receives and uses the data frequency signal to compute the determined rotation speed value.
- 2) Disc speed control device according to claim 1, wherein the signal processing means comprises a data phase locked loop means (11) which outputs a voltage ( $U_-$  ,  $U_0$  ,  $U_+$ ) corresponding to a phase locked loop frequency ( $f_-$  ,  $f_0$  ,  $f_+$ ) of the rate at which data is read by the pick-up, and comprising a reference voltage source which delivers a reference voltage ( $U_V$ ) at an input of the speed processing means.
- 3) Optical disc player or recorder for paying back from or recording to a disc shaped information carrier having recorded or to be recorded with data along data tracks, the data being read or recorded using a pick-up (9),  
**characterized** in that it comprises a disc speed control device the device comprising:

- frequency generating means (2) for generating a frequency signal having a frequency representative of a rotation speed of the disc,
- 5 - disc actuating means (1) for rotating the disc,
- leading value output means (4) for generating a determined rotation speed value,
- 10 - speed servo means (6) which receives the frequency signal and the determined rotation speed value and which regulates the disc actuating means to the determined rotation speed value,
- signal processing means (10) which process an output of the pick-up when the data is being read and deliver a data frequency signal, and
- 15 - speed processing means (8) which receives and uses the data frequency signal to compute the determined rotation speed value.

4) Optical disc player or recorder according to claim 3, wherein the signal processing means comprises a data phase locked loop means (11) which outputs a voltage (U-, U0, U+) corresponding to a phase locked loop frequency (f-, f0, f+) of the rate at which data is read by the pick-up, and comprising a reference voltage source which delivers a reference voltage (Uv) at an input of the speed processing means.

**ABSTRACT**

A disc speed control device for use in a disc player and/or recorder having a pick-up for reading / recording data  
5 comprises an inner loop which regulates a determined disc rotation speed value received at its input and an outer loop which delivers this speed value depending on a frequency at which data is read by the pick-up.

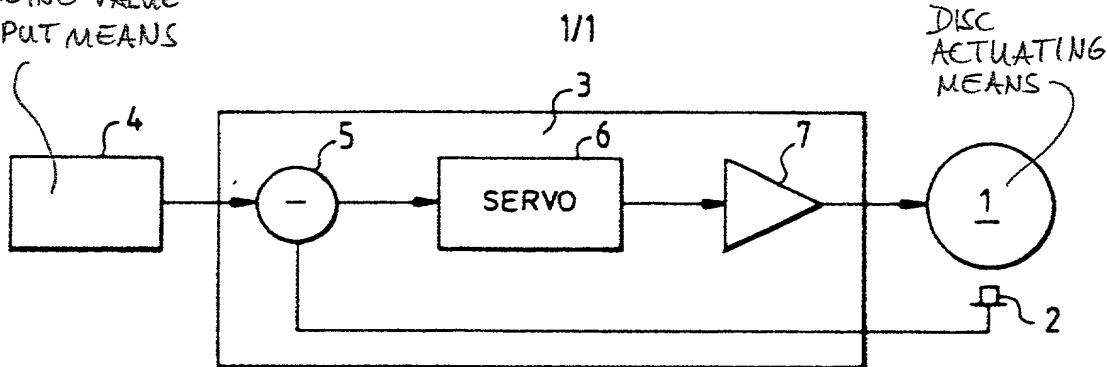
LEADING VALUE  
OUTPUT MEANS

FIG. 1 PRIOR ART

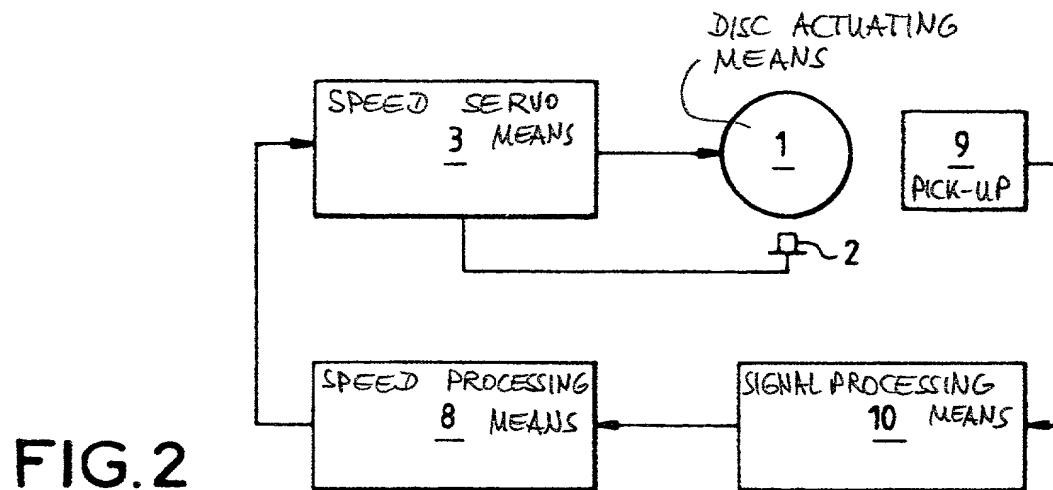
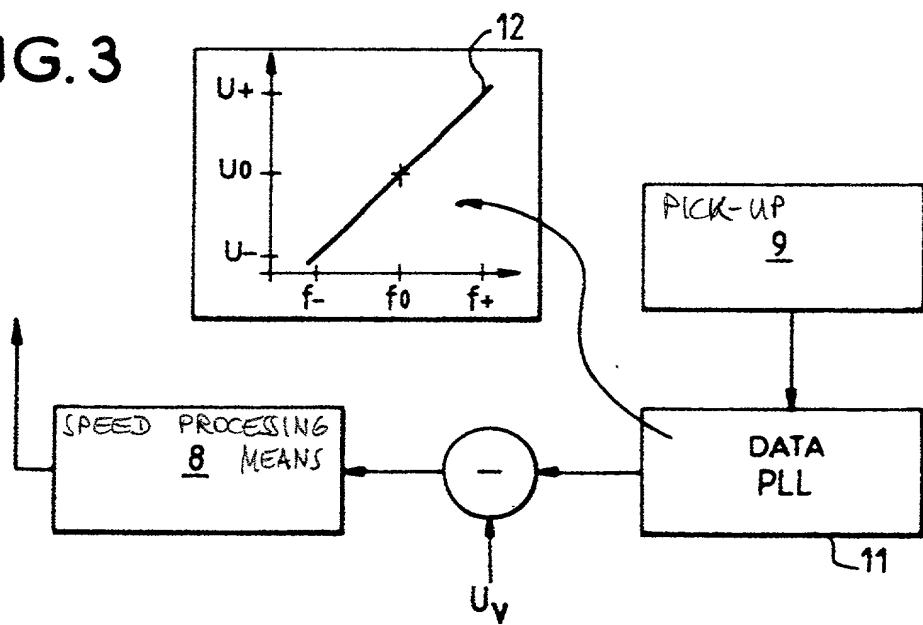
DISC  
ACTUATING  
MEANS

FIG. 2

FIG. 3



DECLARATION FOR UNITED STATES PATENT APPLICATION,  
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**DISC SPEED CONTROL DEVICE**

the specification of which

(CHECK ONE) (xx) is attached hereto.  
( ) was filed on \_\_\_\_\_, Application Serial. No.  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
Number	Country	Date Filed	Yes No
99401755.6	EP	July 13, 1999	xx

I hereby claim the benefit under 35 USC 120 of any US Application(s) listed below, and, insofar as the subject matter of each of the claims of this Application is not disclosed in the prior US application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

Serial No.: \_\_\_\_\_ Filed: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under of 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph S. Tripoli (Reg. No. 26,040), Eric Herrmann (Reg. No. 29,169) and Joseph J. Laks (Reg. No. 27,914) Telephone: (609) 734-9813.

Address all correspondence to Joseph S. Tripoli, Patent Operations - Thomson multimedia Licensing, Inc. - CN 5312 - Princeton, New Jersey 08543-0028.

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